Mammography Accreditation Program Requirements



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Overview

The American College of Radiology's (ACR) Mammography Accreditation Program provides facilities with peer review and constructive feedback on staff qualifications, equipment, quality control (QC), quality assurance, image quality, and radiation dose. Developed in 1987 by the ACR Task Force on Breast Cancer, the accreditation program is the country's first and the largest for mammography. The program is directed by radiologists and medical physicists on the Committee on Mammography Accreditation of the ACR Commission on Quality and Safety. As an ACR peer-review program, all reports, documentation, correspondence (including e-mail) between the ACR and the facility undergoing accreditation, and any information provided by the facility to evaluate its imaging services is privileged and confidential under the Code of Virginia 8.01-581.17. (The facility name, address, phone number, and listed contact personnel are not considered privileged and confidential).

The success of the ACR program in improving the quality of mammography motivated the U.S. Congress to model the provisions of its 1992 Mammography Quality Standards Act (MQSA) after the ACR's Mammography Accreditation Program. MQSA requires that all mammography facilities in the United States (including those that examine Medicare or private pay patients and those that are only screening or diagnostic) be:

- Accredited by an approved body
- Certified by the U.S. Department of Health and Human Services (HHS), and
- Inspected by the HHS (or a state agency acting on behalf of the HHS)

The U.S. Food and Drug Administration's (FDA) MQSA regulations¹ define a mammography facility as "a hospital, outpatient department, clinic, radiology practice, mobile unit, office of a physician, or other facility that conducts mammography activities." The following MQSA milestones impacted all mammography facilities:

- October 1, 1994 all mammography facilities in the U.S. were required to be MQSA-certified; a facility without a current MQSA certificate performing mammography after this date is practicing unlawfully and may be subject to FDA sanctions and fines
- October 28, 1997 FDA published the Quality Mammography Standards; Final Rule
- April 28, 1999 the Final Rule went into effect
- October 28, 2002 more stringent equipment regulations went into effect

The FDA has approved the ACR as an accrediting body for both screen-film and designated full-field digital mammography (FFDM) systems. (See the FDA's <u>Digital Accreditation</u> webpage for a current list of accrediting bodies approved by the FDA to accredit specific units and models of FFDM systems.) Facilities with other FFDM manufacturers and/or models must apply to and be approved by the FDA in order to legally use them to examine patients.

The accreditation program requirements outlined in this document are identical or equivalent to those in the FDA Final Rules. ACR recommendations for improved quality are clearly designated as such but are not required to meet these recommendations in order to be accredited.

Personnel Qualifications

All interpreting physicians, radiologic technologists, and medical physicists (including part-time and locum tenens staff) must have documentation showing that they meet minimum MQSA qualifications. The FDA allows some flexibility in subject areas that may be counted toward the required mammography continuing education. (See the FDA's Policy Guidance Health System² for more information.) If personnel do not meet continuing education or continuing experience requirements, the facility needs to be aware of the FDA's requirements and guidance for re-establishing qualifications².

Interpreting Physician

All physicians interpreting mammograms must meet the following MQSA-required qualifications:

Qualifications	Board Certified in Radiology	Not Board Certified in Radiology		
Initial	Licensed to practice medicine AND Certified in diagnostic radiology by: American Board of Radiology, or American Osteopathic Board of Radiology, or Royal College of Physicians and Surgeons of Canada AND Initial experience: Interpreted 240 examinations in any 6 months within the last 2 years of residency (if board certified at 1st possible opportunity), or	Licensed to practice medicine AND Documented training in mammography interpretation, radiation physics, radiation effects and radiation protection: -2 months (if initially qualified before April 28, 1999), or -3 months (if initially qualified after April 28, 1999) AND Initial experience: interpreted 240		
	 Interpreted 240 examinations within the 6 months immediately prior to the physician's qualification date (if <i>not</i> board certified at 1st possible opportunity) 	examinations within the 6 months immediately prior to the physician's qualification date		
	• Category 1 CME in mammography (at least 15 of which must have been acquired in the 3 years immediately prior to the physician's qualification date): - 40 hours (if initially qualified <i>before</i> April 28, 1999), <i>or</i> - 60 hours (if initially qualified <i>after</i> April 28, 1999) AND			
	8 hours of training in a mammographic modality (e.g., digital) before beginning to use that modality			
Continuing Experience	Interpret 960 mammographic examinations over a 2	•		
Continuing Education	15 Category I CME in mammography in a 36-month	n period		

The ACR and the FDA require that each facility identify a lead interpreting physician who will be responsible for ensuring that all MQSA-required activities are carried out. The <u>ACR Practice Guideline for the Performance of Screening and Diagnostic Mammography</u> specifies that screening mammography may be performed without a physician in attendance. The Committee on Mammography Accreditation believes that adequate supervision can be maintained at off-site facilities through quarterly professional feedback. The facility should maintain a log of these interactions signed by the radiologist. This review should include:

- Clinical image quality
- Quality assurance procedures
- QC documentation, and
- A determination that safe operating procedures are used

Radiologic Technologist

All radiologic technologists performing mammography must meet the MQSA-required qualifications in the table below. The ACR also recommends that technologists performing mammography hold the American Registry of Radiologic Technologists (ARRT) post-primary certification in mammography.

Qualifications	Qualified Before April 28, 1999	Qualified After April 28, 1999			
Initial	Certified by: American Registry of Radiologic Technologists (ARRT), or American Registry of Clinical Radiologic Technologists, or Licensed to perform general radiographic procedures in a state AND	Certified by: American Registry of Radiologic Technologists (ARRT), or American Registry of Clinical Radiologic Technologists, or Licensed to perform general radiographic procedures in a state AND			
	40 hours of training in mammography	 40 hours of training in mammography including: Training in breast anatomy and physiology, positioning and compression, QA/QC techniques, and imaging of patients with breast implants, and 			
		 - 25 mammography examinations under direct supervision of an appropriate MQSA-qualified individual 			
	1A	ND			
	that modality independently	modality (e.g., digital), before beginning to use			
Continuing Experience	Perform 200 mammographic examinations over a 24-month period				
Continuing Education	15 Category 1 CEUs in mammography in a 36-month period				

Medical Physicist

All medical physicists conducting mammography equipment evaluations and annual surveys must meet the following MQSA-required qualifications:

		Pachalaria Dogras				
0 110 41		Bachelor's Degree				
Qualifications	Master's Degree or Higher	(must be qualified before April 28, 1999)				
Initial	 Master's degree or higher in a physical science, and 20 semester hours of physics, and 20 contact hours of training in conducting surveys of mammography facilities, and Experience in conducting surveys of 10 units and 1 facility 	 Bachelor's degree in a physical science, and Qualified under the Interim Regulations, and 10 semester hours of physics, and 40 contact hours of training in conducting surveys of mammography facilities, and Experience in conducting surveys of 20 units and 1 facility 				
	ΔΛ	AND				
		iagnostic Radiological Physics, Diagnostic Medical Physics, Imaging Physics, all Physics by: loard of Radiology, or loard of Medical Physics. or				
	AND					
	modality	training in a mammographic modality (e.g., digital) before surveying units of that				
Continuing Experience	Survey 2 mammography facilities and 6 mammography units over a 24-month period					
Continuing Education	15 CME/CEUs in mammography in a 36-month period					

Equipment

Mammography equipment must meet all FDA requirements. The following table summarizes section 900.12(b) of the FDA equipment regulations and applies to both screen-film and FFDM as indicated:

Feature	FDA Equipment Requirement	Applies To
Motion of tube-image receptor	The assembly shall be capable of being fixed in any position where it is designed to operate. Once fixed in any such position, it shall not undergo unintended motion.	S-F & FFDM
assembly	This mechanism shall not fail in the event of power interruption.	S-F & FFDM
Image receptor	Systems using screen-film image receptors shall provide, at a minimum, for operation with image receptors of 18 x 24 cm and 24 x 30 cm.	S-F
sizes	Systems using screen-film image receptors shall be equipped with moving grids matched to all image receptor sizes provided.	S-F
	Systems used for magnification procedures shall be capable of operation with the grid removed from between the source and image receptor.	S-F & FFDM
Beam limitation and light	All systems shall have beam limiting devices that allow the useful beam to extend to or beyond the chest wall edge of the image receptor.	S-F & FFDM
fields	For any mammography system with a light beam that passes through the X-ray beam-limiting device, the light shall provide an average illumination of not less than 160 lux (15 ft-candles) at 100 cm or the maximum source-image receptor distance (SID), whichever is less.	S-F & FFDM (except Fischer)
Magni- fication	Systems used to perform noninterventional problem-solving procedures shall have radiographic magnification capability available for use by the operator.	S-F & FFDM

Feature	FDA Equipment Requirement	Applies To
	Systems used for magnification procedures shall provide, at a minimum, at least one magnification value within the range of 1.4 to 2.0.	S-F & FFDM
Focal spot selection	When more than one focal spot is provided, the system shall indicate, prior to exposure, which focal spot is selected.	S-F & FFDM
	When more than one target material is provided, the system shall indicate, prior to exposure, the preselected target material.	S-F & FFDM
	When the target material and/or focal spot is selected by a system algorithm that is based on the exposure or on a test exposure, the system shall display, after the exposure, the target material and/or focal spot actually used during the exposure.	S-F & FFDM
Application of	Each system shall provide an initial power-driven compression activated by hands-free controls operable from both sides of the patient.	S-F & FFDM
compres- sion	Each system shall provide fine adjustment compression controls operable from both sides of the patient.	S-F & FFDM
Compres- sion paddle	Systems shall be equipped with different sized compression paddles that match the sizes of all full-field image receptors provided for the system.	S-F & FFDM
	The compression paddle shall be flat and parallel to the breast support table and shall not deflect from parallel by more than 1.0 cm at any point on the surface of the compression paddle when compression is applied.	S-F & FFDM (except Fischer)
	Equipment intended by the manufacturer's design to not be flat and parallel to the breast support table during compression shall meet the manufacturer's design specifications and maintenance requirements.	S-F & FFDM
	The chest wall edge of the compression paddle shall be straight and parallel to the edge of the image receptor.	S-F & FFDM
	The chest wall edge may be bent upward to allow for patient comfort but shall not appear on the image.	S-F & FFDM
Technique factor	Manual selection of mAs or at least one of its component parts (mA and/or time) shall be available.	S-F & FFDM
selection and display	The technique factors (kVp and either mA and seconds or mAs) to be used during an exposure shall be indicated before the exposure begins, except when AEC is used, in which case the technique factors that are set prior to the exposure shall be indicated.	S-F & FFDM
	Following AEC mode use, the system shall indicate the actual kVp and mAs (or mA and time) used during the exposure.	S-F & FFDM
Automatic exposure control	Each screen-film system shall provide an AEC mode that is operable in all combinations of equipment configuration provided, e.g., grid, non-grid; magnification, nonmagnification; and various target-filter combinations.	S-F
	The positioning or selection of the detector shall permit flexibility in the placement of the detector under the target tissue. The size and the available positions of the detector shall be clearly indicated at the X-ray input surface of the breast compression paddle. The selected position of the detector shall be clearly indicated.	S-F
	The system shall provide means for the operator to vary the selected optical density from the normal (zero) setting.	S-F
X-ray film	The facility shall use X-ray film for mammography that has been designated by the film manufacturer as appropriate for mammography.	S-F
Intensifying screens	The facility shall use intensifying screens for mammography that have been designated by the screen manufacturer as appropriate for mammography and shall use film that is matched to the screen's spectral output as specified by the manufacturer.	S-F

Feature	FDA Equipment Requirement	Applies To
Film processing solutions	For processing mammography films, the facility shall use chemical solutions that are capable of developing the films used by the facility in a manner equivalent to the minimum requirements specified by the film manufacturer.	S-F
Lighting	The facility shall make special lights for film illumination, i.e., hot-lights, capable of producing light levels greater than that provided by the view box, available to the interpreting physicians.	S-F & FFDM (for hardcopy comparison)
Film masking devices	Facilities shall ensure that film masking devices that can limit the illuminated area to a region equal to or smaller than the exposed portion of the film are available to all interpreting physicians interpreting for the facility.	S-F & FFDM (for hardcopy comparison)

In addition, facilities with FFDM systems should note the following:

- The FDA requires that the facility be able to provide the medical institution, physician, healthcare provider, patient, or patient's representative with hardcopy films of final interpretation quality. Consequently, the facility must have access to a film printer (either onsite or at a third party.) The printer must exist and be tested by a qualified medical physicist according to the FFDM unit manufacturer's QC manual before the facility performs mammography on patients. The facility must also include information and QC data for the printer in its accreditation application as it does for film processors.
- The FDA recommends that only monitors and printers specifically cleared for FFDM use by FDA's Office of Device Evaluation (ODE) be used. However, a facility may legally use others.

See the FDA's Policy Guidance Help System² for more information. The <u>ACR Practice Guideline for Determinants of Image Quality in Digital Mammography</u> contains further guidance for FFDM systems.

Quality Control

Compliance with minimum frequencies and performance of QC tests as defined by the FDA's Final Rule is a requirement for accreditation. Although not required for accreditation, the ACR recommends that facilities with screen-film systems follow the procedures and performance criteria outlined in the 1999 ACR Mammography Quality Control Manual³.

The FDA requires that facilities with *FFDM* systems comply with a QC program that is substantially the *same as that recommended by the image receptor manufacturer*. In general, QC technologists and medical physicists should refer to the most recent version of their FFDM unit's QC manual for the specific tests, frequencies, and performance criteria.

This requirement to follow the FFDM image receptor manufacturer's QC also applies to *review workstations* (*monitors*) *and film printers*. However, the FDA allows some flexibility for workstation/printer QC depending on the device's clearance from the FDA's ODE for FFDM use. Facilities should *check with their workstation/printer manufacturer* for their device's FDA clearance status.

- Workstations/printers approved by the FDA's ODE for FFDM FDA considers the workstation/printer's QC manual to be "substantially the same" as that of the image receptor manufacturer and the facility may follow it for QC
- Workstations/printers not approved by the FDA's ODE for FFDM the facility must follow the QC manual provided by the image receptor manufacturer (the facility should check with the image receptor manufacturer for their required tests)

Each facility must submit documentation of compliance for all QC tests as part of the application process. Both screen-film and FFDM versions of the required forms may be downloaded from the ACR website.

Mammography Equipment Evaluations

The FDA requires a mammography facility to have its medical physicist perform a Mammography Equipment Evaluation when a new unit is installed. This applies to both screen-film and FFDM units and includes previously owned units, accredited units moved from one site to another site, and new units replacing previously accredited units. This Equipment Evaluation must determine whether the new unit meets both the FDA's:

- Equipment requirements (section 900.12(b)), and
- Quality assurance requirements (section 900.12(e))

The Mammography Equipment Evaluation results must be submitted to the ACR on the MQSA Requirements for Mammography Equipment Checklist and the Medical Physicist Mammography QC Test Summary, which may be downloaded from the ACR website. All problems must be corrected before the facility may apply for accreditation of the unit and before the new unit is put into service for mammography.

Annual Medical Physicist's Survey

The medical physicist must perform the QC tests listed in the table below for screen-film system and manufacture specified QC for FFDM system when equipment is installed and at least annually thereafter. The medical physicist should provide a written report of the survey's findings to responsible physician(s) and professional(s) responsible for service of the equipment. Although FDA regulations allow the medical physicist 30 days from the date of the survey to send a report, a 30-day delay in getting the report to the facility allows the facility no time to take corrective actions. The ACR strongly recommends that written reports be submitted in time to give facilities the opportunity to take corrective actions in case of an adverse finding.

Annual Medical Physicist's Survey Screen-Film Tests	Required by MQSA	Timeframe for Corrective Action
Mammographic Unit Assembly Evaluation	✓	Within 30 days of the test date
Collimation Assessment	✓	Within 30 days of the test date
Evaluation of System Resolution	✓	Within 30 days of the test date
AEC System Performance	✓	Within 30 days of the test date
Uniformity of Screen Speed	✓	Within 30 days of the test date
Artifact Evaluation	✓	Within 30 days of the test date
Image Quality Evaluation	✓	Immediately
kVp Accuracy and Reproducibility	✓	Within 30 days of the test date
Beam Quality Assessment	✓	Within 30 days of the test date

Annual Medical Physicist's Survey Screen-Film Tests	Required by MQSA	Timeframe for Corrective Action
Breast Exposure and AEC Reproducibility	✓	Within 30 days of the test date
Average Glandular Dose	✓	Immediately
Radiation Output Rate	✓	Within 30 days of the test date
Measurement of Viewbox Luminance and Room Illuminance		

The medical physicist must summarize the Annual Medical Physicist's Survey results on the <u>Medical Physicist Mammography QC Test Summary form</u>, which may be downloaded from the ACR website.

Radiologic Technologist Quality Control Tests

A QC program must be implemented for all mammography systems and should be established with the assistance of a medical physicist. A designated QC technologist must perform the FDA-required QC tests listed in the table below for screen-film systems and manufacturer specified QC for FFDM system at the specified *minimum* frequencies. If any QC parameter falls outside of the control limits, corrective action must be taken. A medical physicist should be available to assist in prescribing corrective actions for unresolved problems.

Radiologic Technologist Screen-Film QC Test	Minimum Frequency	Required by MQSA	Timeframe for Corrective Action
Darkroom Cleanliness	Daily		
Processor Quality Control	Daily	✓	Immediately
Mobile Unit QC	Daily	✓	Immediately
Screen Cleanliness	Weekly		
Viewboxes and Viewing Conditions	Weekly		
Phantom Images	Weekly	✓	Immediately
Visual Checklist	Monthly		
Repeat Analysis	Quarterly	✓	Within 30 days of the test date
Analysis of Fixer Retention in Film	Quarterly	✓	Within 30 days of the test date
Darkroom Fog	Semi-annually	✓	Immediately
Screen-Film Contact	Semi-annually	✓	Immediately
Compression	Semi-annually	✓	Immediately

Both <u>FFDM Mammography Quality Control Checklists and screen-film QC forms</u> are available for the technologist on the ACR website.

Quality Assurance/Medical Audit

Each facility must establish and maintain a mammography medical outcomes audit program to follow up positive mammography assessments and to correlate pathology results with the interpreting physician's findings. The ACR strongly recommends that facilities use the Breast Imaging Reporting and Data System (BI-RADS[®])⁴ final assessment codes and terminology for reporting and tracking of mammography outcomes. (However, there is no requirement that the mammography facilities currently accredited by the ACR, nor those applying for accreditation in the future, purchase any ACR products in order to obtain or maintain their ACR accreditation.) The BI-RADS[®] Atlas also contains guidance on monitoring outcomes and conducting a mammography audit.

Reporting

The interpreting physician must prepare a written report containing the results of each examination in addition to the following information:

- The name of the patient and an additional patient identifier
- The date of the examination
- The name of the examination's interpreting physician, and
- An overall final assessment

The ACR strongly recommends that the final assessment be categorized into one of the FDA-approved BI-RADS[®] final assessment categories:

BI-RADS [®] Category	Overall Final Assessment
1	Negative
2	Benign Finding(s)
3	Probably Benign Finding – Initial Short-Term Follow-Up Suggested
4	Suspicious Abnormality – Biopsy Should Be Considered
5	Highly Suggestive of Malignancy – Appropriate Action Should Be Taken
6	Known Biopsy-Proven Malignancy – Appropriate Action Should Be Taken

In cases where no final assessment can be assigned due to incomplete work-up, the ACR recommends that BI-RADS[®] Category 0 (Incomplete: Need Additional Imaging Evaluation and/or Prior Mammograms for Comparison) be used along with reasons why no assessment can be made. Excerpted text from the $\underline{\text{BI-RADS}}^{\$}$ Atlas is available on the ACR website.

This written report, signed by the interpreting physician, must be provided to the patient's health care provider within 30 days of the examination date. If the assessment is "suspicious" or "highly suggestive of malignancy" reasonable attempts must be made to communicate this to the health care provider (or designee) as soon as possible. FDA guidance recommends no more than 3 business days.

In addition, the facility must send a written summary of the mammography report to the patient in terms easily understandable by a lay person (i.e., lay letter) within 30 days of the examination date. If the assessment is "suspicious" or "highly suggestive of malignancy" reasonable attempts must be made to communicate this to the patient as soon as possible: FDA guidance recommends no more than 5 business days. This applies to every patient who receives a mammogram, not only self-referred patients. The intent of this law is to address women's concerns about breakdowns in communication that prevent timely and appropriate diagnosis and treatment of breast disease. Sample <u>lay letters</u> are available on the ACR website. If the patient is self-referred and has not named a health care provider, the facility must also send a copy of the written report to the patient.

Record Keeping

Current mammograms and records must be kept by the facility:

- For at least 5 years, or
- At least 10 years if no additional mammograms of the patient are performed at the facility, or
- Longer if mandated by state or local law, or
- Until a request is made by or on behalf of the patient, to permanently or temporarily transfer her records to a medical institution, her physician or health care provider, or to the patient herself

For FFDM, either the original or lossless compressed full field digital data or hardcopy films of final interpretation quality must be maintained.

The FDA requires that each mammography facility permanently or temporarily transfer the original mammograms and copies of the patient's reports to the patient or to her medical institution, physician, or health care provider upon request or on behalf of the patient. For FFDM, the facility must be able to provide hardcopy films of final interpretation quality. The ACR will assist patients calling to report that their mammography facility will not transfer original images by calling the mammography facility to inform them about the FDA requirement for original film transfer. If the facility continues to refuse to transfer the images, ACR staff will refer the patient to appropriate staff at the FDA.

If the facility continues to refuse to transfer the images, it is cause for a "Serious Complaint" according to the FDA's criterion, i.e. a serious adverse event is one "for which a facility fails to take appropriate corrective action in a timely manner." The ACR will initiate an investigation of the facility.

Consumer Complaints

ACR-accredited facilities must:

- Have a written and documented system for collecting and resolving consumer complaints
- Maintain a record of each serious complaint received by the facility for at least 3 years from the date the complaint was received
- Provide the consumer with directions for filing serious complaints with the ACR if the facility is unable to resolve a serious complaint to the consumer's satisfaction, and
- Report unresolved serious complaints to the ACR as soon as possible

A serious complaint is defined by the FDA as "a report of a *serious adverse event*, which means an event that significantly compromises clinical outcomes or one for which a facility fails to take appropriate corrective action in a timely manner."

In addition, consumers may directly contact the ACR to report a serious complaint if they feel that their concerns have not been adequately addressed by the facility. All

Serious Adverse Events - Examples

- 1. Poor image quality
- 2. Missed cancers
- 3. The use of personnel that do not meet FDA qualifications
- 4. Failure to send the mammography reports or lay summaries within 30 days

serious consumer complaints must be submitted to the ACR in writing and include the:

- Consumer's name, address and telephone number
- Consumer's signature (if reported by the consumer)
- Name and location of the ACR-accredited facility where the mammogram was performed
- Description of the complaint

• Copies of any supporting documentation that would be helpful in addressing the complaint

The ACR will:

- Acknowledge the receipt of the complaint by letter to the patient (or facility)
- Obtain a signed Serious Consumer Complaint Inquiry Release Authorization from the patient
- Request a response from the facility in writing
- Provide a summary of its resolution to the patient
- Forward unresolved complaints to the FDA as appropriate

Consumer complaints may be faxed, e-mailed, or mailed to:

Director, Breast Imaging Accreditation Programs
American College of Radiology
1891 Preston White Drive
Reston, VA 20191-4397
Fax: (703) 648-9176
mamm-accred@acr.org

Although all consumer complaints are important, not all complaints submitted to the ACR are of a serious nature. These include patient waiting times, uncomfortable compression, and excessive fees charged by facilities for transferring films. The ACR will acknowledge the receipt of each complaint and advise the patient which complaints can best be addressed directly by the facility. ACR staff will provide the patient with copies of FDA regulation and guidance supporting their complaint and alternative sources of assistance as appropriate. The ACR will not follow up any complaint that is submitted verbally or anonymously.

Facility Closure

If a facility ceases mammography and closes its doors, the FDA continues to hold the facility responsible for assuring that there is a mechanism to release the films to the appropriate entity when requested. Before a facility permanently stops performing mammography, it should do the following:

- Inform the ACR that it will no longer be performing mammography,
- Notify its State radiation control program, and
- Arrange transfer of each patient's medical record (original mammography images and reports) to the mammography facility where the patient will be receiving future care, the patient's referring physician or health care provider, or the patient.

The facility should make reasonable attempts to inform its former patients of how they can obtain their mammography records. (Facilities should check with state or local agencies to determine if their requirements are more stringent.) Radiology practices and other medical facilities that still see patients but have permanently stopped performing mammography, may choose to keep the patients' medical records rather than transfer them to another facility (unless the patient requests such a transfer).

If the third option is not viable, facilities must assure that there is a mechanism to release the films to the appropriate entity when requested and that former patients are made aware of that mechanism. For instance a facility could store the medical records in a hospital, if appropriate, or make arrangements to warehouse the records. If no one else is willing to accept the records, the facility remains responsible for them.

Once the facility ceases operation, both the ACR certificate and the MQSA certificate should be removed from display.

Applying for Accreditation

New Facilities

Before a new mammography facility may legally perform mammography on anyone (including volunteers) it must apply for accreditation of all their mammography units and receive a provisional MQSA certificate from the FDA (or state certifying body). This applies to both screen-film and FFDM systems.

Because computed radiography (CR) is a form of FFDM and the image quality, QC, and personnel requirements are different from screen-film, facilities using both screen-film and CR with the same mammography unit must accredit it as 2 separate units.

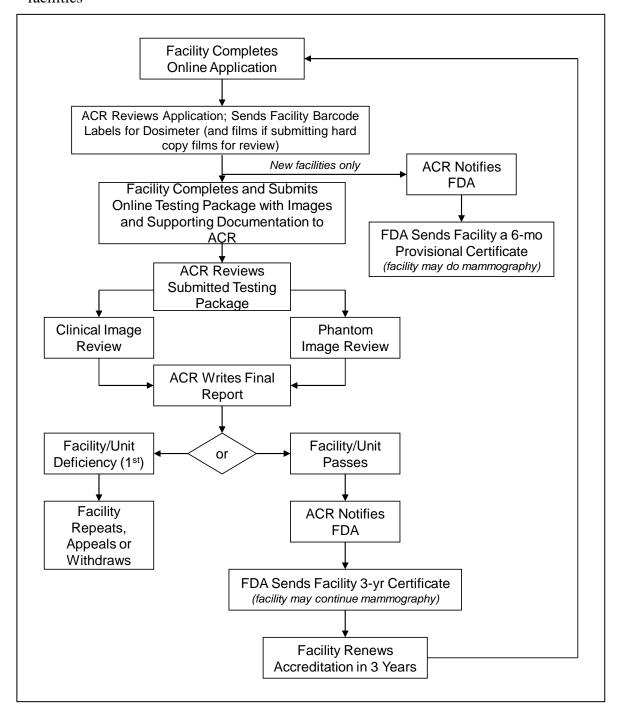
The following mammography sites must apply separately for accreditation and obtain unique facility MAP IDs and MQSA certificate numbers:

- A new site, not associated with an existing mammography facility, opening with new equipment and personnel
- A new site associated with an existing mammography facility, opening at a separate physical location with new equipment
- A new site at a separate physical location, with a previously-accredited unit from a sister site (the sister site remains in operation with other units under its current MQSA certificate)
- A site at the same physical location as a previously accredited and certified facility opening under new ownership and with new personnel and equipment

The flowchart below summarizes the MQSA certification and ACR mammography accreditation process. The facility is responsible for taking the following steps to obtain an MQSA certificate:

- Scheduling the medical physicist's survey at least 1 week before the "applications" training to allow time for corrective action of potentially non-compliant tests (contact the medical physicist early, especially if he/she is a consultant)
- New facilities will complete an online application here: https://acredit.acr.org. This application includes:
 - Basic facility, equipment, and personnel information
 - The medical physicist's Mammography Equipment Evaluation summary showing that all FDA-required tests have passed (this can be uploaded in the online application)
 - Legal agreements with appropriate signatures (electronic signatures are accepted, or documents may be faxed)
 - The appropriate application fee
- If the application is complete and all FDA requirements are met, the ACR notifies the FDA (or state certifying body) to fax the facility a 6-month provisional MQSA certificate
- The facility should expect to receive its provisional MQSA certificate within 4 business days of the day the ACR receives all required application material
- **Scheduling the manufacturer's "applications" training accordingly** (the facility **must** have this provisional MQSA certificate before imaging human subjects as part of this training)

Contacting their state radiation control agency for any state-specific requirements for new facilities



Soon after the facility receives their provisional MQSA certificate, the ACR will send the following:

- Barcode labels
- Dosimeter
- Access to the online Testing Package to submit information on:
 - Policies and procedures
 - Reporting mechanisms
 - Patient outcome data
 - Personnel (radiologists, medical physicists and radiologic technologists)
 - OC results
 - Images, if submitting electronically

Facilities will obtain the instructions for submission and document templates from the ACR website at www.acr.org. Facilities must submit the online testing package, and upload or label and send all documents and images, to the ACR within 45 calendar days of the date the ACR sent the barcode labels and dosimeter to the facility.

A facility must have an MQSA certificate in order to legally perform mammography.

New Units

A currently accredited and certified facility installing a new unit (or a previously used or accredited unit that is new to the facility) should contact the ACR for appropriate instructions. The type of accreditation options available will depend on how much time the facility has left on its current MQSA certificate when the new unit is installed:

- Over 13 months:
- New Unit Addendum a facility with other fully accredited units may choose to complete a New Unit Addendum and pay a reduced fee for accrediting the new unit (since it will not receive a full, 3-year certification). A facility with a new unit(s) applying mid-cycle in this manner need submit only testing for the new unit (not a full application). Once accreditation is approved for the new unit, its expiration date will be the same as the other units at the facility.
- Reinstate the ACR recommends that a facility with only 1 unit choose to give up its current MQSA certificate and reinstate the facility with the new unit. The facility must submit a New Unit Reinstatement Application at the full fee and will receive a 6-month provisional MQSA certificate. Once approved, the facility will receive a full 3-year accreditation from the date of approval.
- Less than 13 months:
- Early Renewal the ACR will instruct the facility to begin early renewal on all units at the full renewal fee. Once approved, the facility will have a new expiration date that is 3 years from the old expiration date; thus, the facility will not lose any time from its current MQSA certificate.

A facility with a current MQSA certificate may legally begin examining patients with the new unit after they have submitted the complete application (with their medical physicist's passing Equipment Evaluation results) to the ACR. Once the application is approved and accepted as complete, the ACR will notify the FDA that an accreditation application has been accepted for the new unit. These facilities are not required to wait for a response from the ACR to begin clinical use of the new unit since they are operating with a current MQSA certificate. However, if this is the facility's first FFDM unit, the Center for Medicare and Medicaid Services (CMS) will not reimburse for digital

examinations until the FDA has received notification that the facility has applied for accreditation of a FFDM unit. In order to ensure CMS payment, the facility must:

- Submit the application materials with the Equipment Evaluation results to the ACR, and
- After 3 business days, call the ACR to confirm that the new unit information was transmitted to the FDA

In addition, facilities should contact their state radiation control agencies for any state-specific requirements for new units.

Mobile Mammography

Facilities with mobile units must call the ACR for specific instructions on accreditation. Because mobile practice settings vary significantly, the FDA has approved the ACR to provide some flexibility in accrediting mobile units. In general, mobile units may apply for accreditation as follows:

- *Scenario I:* A mobile unit operating under a fixed, accredited, and certified facility (utilizing the same personnel) may either
 - Accredit as an additional unit under the facility's MQSA certification, or
 - Accredit and certify as an entirely separate facility
 - If the fixed facility chooses to accredit the mobile unit under its existing MQSA certificate, the mobile unit must be present at the fixed site during MQSA inspections.
- *Scenario II:* A mobile unit owned and operated by a single entity must designate a single lead interpreting physician in order to accredit and certify as a single facility.
- *Scenario III:* A mobile unit owned by a single entity servicing multiple sites with different lead interpreting physicians must accredit and certify as separate facilities.

		Mobile Service Site			
Mobile Scenario Examples	Mobile Unit Owner	Location	Mammo Service Provider/Owner	Lead MD	Accreditation/ Certification
Mobile unit owned and	A Hospital	1. B Hospital	A Hospital	Dr. Q	Option 1: Accredit the mobile unit under the fixed
operated by a single, fixed accredited and		2. C Nursing Home	A Hospital	Dr. Q	facility's (A Hospital) MQSA certification or
certified facility; services 3 sites		3. D Health Center	A Hospital	Dr. Q	Option 2: Accredit and certify the mobile unit as a separate facility
II. Independent mobile	E Mobile	1. F Hospital	E Mobile Co.	Dr. S	Option 1: Accredit and certify the mobile unit as 1
service owned and	Co.	2. G Hospital	E Mobile Co.	Dr. S	facility (E Mobile Co.) or
operated by a single entity; services 3 sites		3. H Hospital	E Mobile Co.	Dr. S	Option 2: Accredit and certify the mobile unit as 3 separate facilities
III.Independent mobile service owned by a	I Mobile Co.	1. J Hospital	J Hospital	Dr. U	Accredit and certify as 1 facility (either I Mobile Co. or J Hospital)
single entity; services 5		2. K Hospital	K Hospital	Dr. V	Option 1: Accredit and certify as 1 facility; only 1
sites, with 3 different lead interpreting physicians		3. L Hospital	L Hospital	Dr. V	owner may be designated (either I Mobile Co., K Hospital or L Hospital) or
priyeroland					Option 2: Accredit and certify the mobile unit as 2 separate facilities (K Hospital and L Hospital)
		4. M Hospital	M Hospital	Dr. W	Accredit and certify as 1 facility; only 1 owner may
		5. N Nursing Home	M Hospital	Dr. W	be designated (either I Mobile Co. or M Hospital)

Accreditation Testing

Clinical Images

The facility must submit 2 clinical cases (1 from a patient with fatty breasts; 1 from a patient with dense breasts) to be evaluated as part of accreditation. At least 2 ACR radiologist reviewers will score

8 parameters on each case. See the "Clinical Image Evaluation" section of the 1999 ACR Mammography Quality Control Manual for examples. The submitted images *must* meet the following criteria:

- The cases must be "*negative*" (BI-RADS[®] Assessment Category 1) and *not* "benign" (Category 2) or "incomplete" (Category 0). If the facility only performs diagnostic exams and cannot submit "negative" images, they should call the ACR for assistance.
- The cases must be examples of the facility's best work.
- The images must be from actual patients and must have been formally interpreted. They may *not be from models or volunteers*.
- The *entire breast* must be imaged in a single exposure on each projection.
- Digital images must be as close to "true size" as possible (i.e., with no minification or magnification).
- Both screen-film *and* digital images *must* be labeled with the *MQSA-required identification* information. The ACR reviewers will evaluate this.
- The *lead interpreting physician* must review and approve the clinical images.
- If submitting electronically, images must be processed (marked "For Presentation")

Mammographic Image Identification

Images are an important part of the medical record. One of the requirements for clinical images is correct labeling to include patient identification. The ACR understands that as providers, facilities are subject to the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and that is why the ACR executes a HIPAA business associate agreement (BAA) with facilities. This agreement allows the collection of patient information in the performance of ACR accreditation activities which are specifically mentioned in the HIPAA regulations. If the facility has a BAA with ACR, they are covered under HIPAA. If not, contact the ACR to obtain an agreement for signature.

If you are submitting images for accreditation review electronically, the information required for labeling must be available in the DICOM header of the image.

The MQSA-required identification below applies to both screen-film and FFDM images.

MQSA-Required Mammographic Image Identification

- 1. Name of patient (first and last)
- 2. Additional patient identifier (e.g., medical record number or social security number; date of birth is less desirable)
- 3. Date of examination
- 4. Standardized view and laterality codes placed on the image in a position near the axilla
- 5. Facility name and location (must include city, state, and zip code)
- 6. Technologist identification
- 7. Cassette/screen identification
- 8. Mammography unit identification, if more than one unit in the facility

The label which contains the patient and facility information outlined in the table must be permanent.

Radiopaque markers or identification indicating laterality (R/L) and projection/view (MLO, CC) must be placed near the aspect of the breast closest to the axilla. If radiopaque markers are used, they should

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Clinical Image Review

Parameters

A. Positioning

D. Sharpness

E. Contrast

G. Artifacts

F. Noise

B. Compression

C. Exposure level

H. Exam identification

be placed on the cassette holder so that they can be read directly from overhead; they should not be so large as to be distracting but large enough to be clearly read. Standardized abbreviations for mammography views (see the 1999 ACR Mammography Quality Control Manual) must be employed to eliminate confusion from one facility to another.

The technologist who performed the examination must be identified on the image. Technologist identifiers, such as unique initials, should be placed either on a designated location in the patient ID area or with radiopaque letters on the cassette holder. The facility should maintain a log of the technologists and their identifying initials.

Cassette/screen identification is usually designated by an Arabic numeral written or pressed on the screen. This is used to identify screens with artifacts or defects.

A mammography unit number (usually a Roman numeral) is required if there is more than one unit at the facility.

Except for view and laterality, labels should be placed as far as possible from the breast so as not to distract from evaluating the breast image. Collimating close to the surface of the breast is not recommended because light transmitted through clear areas of the film adversely affects film viewing. Collimating close to the edge of the breast does not significantly improve image contrast since there is virtually no X-ray scatter from air. Therefore, collimation should be to the edge of the film so that as much of the film as possible will be exposed.

The ACR also recommends the following identification on each image:

	Identification	Advantages/Discussion
Strongly	A patient ID system	More permanent than stick-on labels
recommended		Information reproduces on copy films
		ID should fit squarely in its designated space, near the edge of the film
		Not acceptable if any information is illegible, does not fit, or is lopsided, causing cut-off of information
Recommended	Separate date stickers for hard copy film submissions	Allows for the date to be easily read with overhead light
		May be color-coded by year to facilitate the sorting of examinations
	Technical factors	Include target-filter combination, kVp, mAs, exposure time, compression force, compressed breast thickness and degree of obliquity

Phantom Image and Dose

The facility must submit an image of an ACR-approved phantom for review. The phantom simulates a 4.2 cm compressed breast of average density and has a wax insert containing the fibers, specks, and mass sizes outlined in the table below. At least 2 ACR medical physicists will score the phantom image; the 4 largest fibers, the 3 largest speck groups, and the 3 largest masses must be visualized (after artifacts are subtracted) in order to pass. The ACR evaluation criteria are outlined in the 1999 ACR Mammography Quality Control Manual³.

Test Object #	Fibers (mm diameter)	Specks (mm diameter)	Masses (mm thick)
1	1.56*	0.54*	2.00*
2	1.12*	0.40*	1.00*
3	0.89*	0.32*	0.75*
4	0.75*	0.24	0.50
5	0.54	0.16	0.25
6	0.40	*Must be visib	le to pass

The facility must purchase the phantom directly from the manufacturer. At this time, the ACR has approved the following phantoms for use in the Mammography Accreditation Program:

	Computerized Imaging Reference Systems, Inc.	Gammex, Inc.	Fluke Biomedical, RMS
Model #	CIRS Model 015	Gammex Model 156	Nuclear Associates Model 18-220
Phone #	(800) 617-1177 or (757) 855-2765	(800) GAMMEX-1	(800) 850-4608
Website	www.cirsinc.com	www.gammex.com	www.flukebiomedical.com/rms

Radiation dose will be evaluated using a dosimeter provided by the ACR. The dosimeter must be exposed on the phantom at the same time the accreditation image is produced. The average glandular dose may not exceed 300 mrad (3 mGy).

Final Reports

Within approximately 60 days of receiving the testing materials, the ACR will issue a final report *to the lead interpreting physician* that includes specific assessments and recommendations for improvement. The facility's original images will also be returned, if the images were submitted to the ACR on hard copy film.

When the facility's unit passes accreditation:

- The ACR will send the facility a 3-year accreditation certificate and decal for each unit, notify the FDA of the unit's approval, and list the accredited facility on the ACR website.
- The FDA (or state certifying body) will mail the facility a full, 3-year MQSA certificate.

Because the FDA and states certify facilities rather than units, each accredited unit must have the same expiration date, regardless of when it was accredited. The MQSA certificate will have the same expiration date as the ACR accreditation expiration.

If a facility does not pass accreditation:

- The ACR's final report will provide specific recommendations for improvement.
- If this is a unit's first attempt, the facility should take corrective action on its own.
- If this is a unit's second attempt, the ACR must notify the FDA of its failure. In these cases, the facility must submit documentation of corrective action in order to reinstate.
- Facilities may appeal any denial of accreditation.

Facility options after unsuccessful attempts at accreditation are outlined in the table below:

Attempt	Accreditation Result	Facility Options
First	NOT GRANTED First deficiency	REPEAT not acceptable area(s) (only if more than 60 days on MQSA certificate),
	Facility may continue performing mammography with unit as long	REINSTATE by retesting all areas (if 60 days or less on MQSA certificate),
	as they have a valid MQSA certificate	APPEAL decision on original images, or WITHDRAW
Second	NOT GRANTED Second deficiency = first failure	REINSTATE by retesting all areas (with corrective action),
	ACR strongly recommends facility cease performing mammography with unit	APPEAL decision on original images (may not operate until the appeal is complete), <i>or</i> WITHDRAW
Third	NOT GRANTED Third deficiency = second failure	REINSTATE after participating in Scheduled On-Site Survey,
	ACR strongly recommends facility cease performing mammography with unit	APPEAL decision on original images (may not operate until the appeal is complete), <i>or</i> WITHDRAW

Accreditation Renewal

The ACR will notify the facility to begin the renewal process approximately 8 months prior to the expiration of ACR accreditation. The renewal application process is essentially the same as the process for new facilities.

Accreditation Fees

The following mammography accreditation fees have been approved by the FDA. Facilities must submit the appropriate fee with their application. All fees are non-refundable and subject to change without notice.

Cycle	Fees
Accreditation (Initial cycle and renewal)	\$1475 for the first unit
	\$1300 for each additional unit
Repeat	\$700
Reinstate/Corrective Action Plan	\$1475 for the first unit
	\$1300 for each additional unit
Additional units (mid-cycle)	\$850 per unit
Replacement Certificate	\$65 per certificate
Replacement Dosimeter	\$70 per dosimeter

Annual Updates

The ACR will send each facility an annual update package to complete and submit each year after they are accredited. Facilities must identify changes in address and certain personnel as part of this annual update.

Validation

Film Checks

Under MQSA, the ACR is required to conduct "random clinical image reviews of a sample of facilities to monitor and assess their compliance with standards established by the body for accreditation." The ACR uses this review as an opportunity to provide facilities with mid-cycle educational feedback on image quality. The review is conducted by means of a validation film check of approximately 300 randomly selected facilities each year. The ACR validation film check evaluates the following:

- Clinical image quality
- Phantom image quality
- Dose
- Quality control

The ACR recognizes that the clinical images selected for this evaluation may be drawn from a relatively small sample of images in relation to the total number of mammograms performed at the facility. Furthermore, variations in image quality may be attributed to the natural anatomical differences present in the female population. Reviewers will take this into consideration when evaluating validation film check images. The ACR will provide a report when the review is complete.

On-Site Surveys

The FDA also requires the ACR to conduct on-site surveys of a random sample of accredited facilities. Any facility chosen for an on-site survey will be notified in advance. The survey team will include ACR radiologist and medical physicist reviewers and an ACR staff technologist. During this survey, the ACR team will review the facility's:

- Quality assurance program
- Mammography policies and procedures
- Personnel qualifications, and
- Clinical images and mammography reports

In addition, the site visit team will work with the facility's staff to acquire and evaluate a phantom image and a dose assessment. These site visits offer facility staff an excellent opportunity for personal interaction with experts in the field as well as providing validation of facility accreditation information.

For Additional Information

For further information about the <u>ACR Mammography Accreditation Program</u>, downloadable <u>accreditation program forms</u> and <u>Frequently Asked Questions</u>, go to the ACR web site at www.acr.org, click on "Quality and Safety", then "Accreditation", then click on "Mammography". Also, check out the ACR's <u>Breast Imaging Resources</u> page for the latest information about the ACR's breast imaging accreditation programs (including the <u>Breast Imaging Centers of Excellence</u> initiative) as well as breast imaging information in general. To contact the ACR Mammography Accreditation Program office by phone, dial (800) 227-6440.

ACR Practice Guidelines and Technical Standards

The following ACR Practice Guidelines and Technical Standards provide additional guidance for facilities performing mammography.

- 1. ACR Practice Guideline for the Performance of Screening and Diagnostic Mammography
- 2. ACR Practice Guideline for Determinants of Image Quality in Digital Mammography
- 3. ACR Practice Guideline for Imaging Pregnant or Potentially Pregnant Adolescents and Women with Ionizing Radiation
- 4. ACR Practice Guideline for Communication of Diagnostic Imaging Findings
- 5. ACR Position Statement: Quality Control and Improvement, Safety, Infection Control, and Patient Education Concerns

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